DT02 Rec'd PCT/PTO 2 8 FEB 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/3/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTTORNEY'S DOCKET NUMBER 2335.0060001/SRL/KPQ

U.S. APPLICATION NO. (If know), 19 3 (1975) 726

U.S. Nat'l Phase of PC 1976 (1975) 726

PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO. PCT/EP03/09437	INTERNATIONAL FILING DATE 26 August 2003	PRIORITY DATE CLAIMED 28 August 2002								
TITLE OF INVENTION Diagnostic And Therapeutic Use Of Foap-13 Polynucleotides And Polypeptides For Neurodegenerative Diseases										
APPLICANT(S) FOR DO/EO/US										
Heinz VON DER KAMMER and Johannes POHLNER  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
	ncerning a submission under 35 U.S.C. 371	,								
2. This is a SECOND or SUBSEQUENT se	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.									
3. X This is an express request to begin nation (5), (6), (9) and (21) indicated below.										
4. X The US has been elected (Article 31).	The US has been elected (Article 31).									
5. X A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).									
b. X has been communicated by	b. X has been communicated by the International Bureau.									
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An English language translation of the	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. is attached hereto.		•								
b. has been previously submitt	ted under 35 U.S.C. 154(d)(4).									
7. Amendments to the claims of the Inter	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. are attached hereto (require	a. are attached hereto (required only if not communicated by the International Bureau).									
b. have been communicated t	b. have been communicated by the International Bureau.									
c. have not been made; howe	c. have not been made; however, the time limit for making such amendments has NOT expired.									
d. have not been made and w	d. have not been made and will not be made.									
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. An oath or declaration of the inventor(	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s)	or information included:									
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.									
12. An assignment document for recording	g. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.								
13. X A preliminary amendment.										
14. X An Application Data Sheet under 37 C	FR 1.76.									
15. A substitute specification.										
16. A power of attorney and/or change of a	address letter.									
17. X A computer-readable form of the sequ	ence listing in accordance with PCT Rule 13	3ter.2 and 37 CFR 1.821- 1.825.								
18. A second copy of the published Interna	ational Application under 35 U.S.C. 154(d)(	4).								
19. A second copy of the English language	e translation of the international application	under 35 U.S.C. 154(d)(4).								
20 Other items or information: Int'l Searce	ch Report IPER Conv. of Written C	Oninian Cany of Renly to Written Oninian								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## DT15 Rec'd FCT/PTO 2 8 FEB 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICAT	. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATION NO.	ATTORNEY'S DOCKET NUMBER					
	M Helis Agined	26	PCT/EP03	/094	137	2335.0060001/SRL/KPQ			
21. The following fees are submitted:									
X a) Basic national fee\$300.00			\$300.00	\$	300.00	÷			
X b) Examin	ation fee				. \$200.00	\$	200.00		
X c) Search fee\$500.00				\$	500.00				
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$	1,000.00				
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence						-			
listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	tal Sheets Extra sheets Number of each additional 50 or fraction RATE		RATE						
		thereo	f (round up to a whole numbe	ound up to a whole number)					
45 - 100 =	/50 =				x \$250.00	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		,		
CLAIMS	NUMBER	ILED	NUMBER EXTRA		RATE	\$			
Total claims		- 20 =		× \$50.00		\$			
Independent clai	ms	- 3 =		x \$200.00		\$			
MULTIPLE DEP	ENDENT CLAIM(S) (if	applicable)		+	\$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =					\$				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					\$				
SUBTOTAL =					\$ 1,000.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$				
TOTAL NATIONAL FEE =					\$ 1,000.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$				
TOTAL FEES ENCLOSED =					\$ 1,000.00				
				Amount to be refunded:		\$			
						Amount t charged:	o be	\$	
a. A chec	k in the amount of \$ _		to cover the abo	ve fee	s is enclosed.				
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 19-0036. A duplicate copy of this sheet is enclosed.									
d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
	CUSTOMER NUMBER 26111 — Wat Air Charles						Zad		
Sterne Kessler Goldstein & Fox P.I.I.C. SIGNATURE									
Katrina Y. Pei Que					. Pei Quac	<u>h</u>			
	51,063								
REGISTRATIO									



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VON DER KAMMER et al.

Appl. No.: To be assigned (U.S. Nat'l Phase

of PCT/EP03/09437)

Filed: Herewith (I.A. Filing Date: August 26,

2003)

For: Diagnostic and Therapeutic Use of

FOAP-13 Polynucleotides and Polyneptides for Neurodegenerative

Polypeptides for Neurodegenerative

**Diseases** 

Confirmation No.: To be assigned

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 2335.0060001/SRL/KPQ

Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

- Pin Charles

Katrina Y. Pei Quach Agent for Applicants

Registration No. 51,063

Date: February 28, 2005 1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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